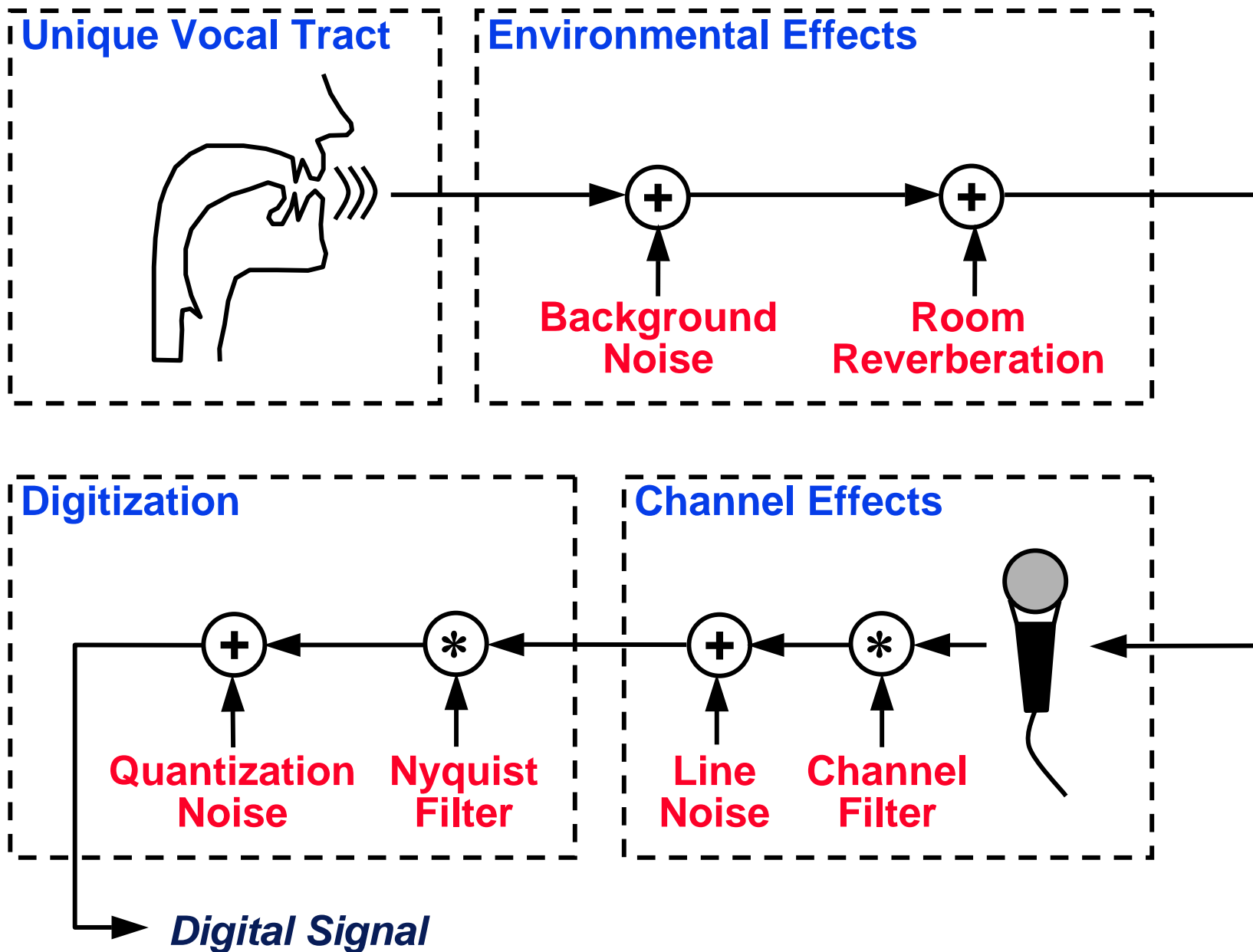


**Lecturer: T. J. Hazen**

- **Overview**
- **Adaptation Methods**
  - **Vocal Tract Length Normalization**
  - **Bayesian Adaptation**
  - **Transformational Adaptation**
  - **Reference Speaker Weighting**
  - **Eigenvoices**
  - **Structural Adaptation**
  - **Hierarchical Speaker Clustering**
  - **Speaker Cluster Weighting**
- **Summary**

# Typical Digital Speech Recording



# Accounting for Variability

- **Recognizers must account for variability in speakers**
- **Standard approach: Speaker Independent (SI) training**
  - Training data pooled over many different speakers
- **Problems with primary modeling approaches:**
  - Models are heterogeneous and high in variance
  - Many parameters are required to build accurate models
  - Models do not provide any speaker constraint
  - New data may still not be similar to training data

# MIT Providing Constraint

- **Recognizers should also provide constraint:**
  - Sources of variation typically remain fixed during utterance
  - Same speaker, microphone, channel, environment
- **Possible Solutions:**
  - Normalize input data to match models (i.e., Normalization)
  - Adapt models to match input data (i.e., Adaptation)
- **Key ideas:**
  - Sources of variability are often systematic and consistent
  - A few parameters can describe large systematic variation
  - Within-speaker correlations exist between different sounds

# MIT Probabilistic Framework

- **Acoustic model predicts likelihood of acoustic observations given phonetic units:**

$$P(A | U) = P(\vec{a}_1, \vec{a}_2, \dots, \vec{a}_N | u_1, u_2, \dots, u_n)$$

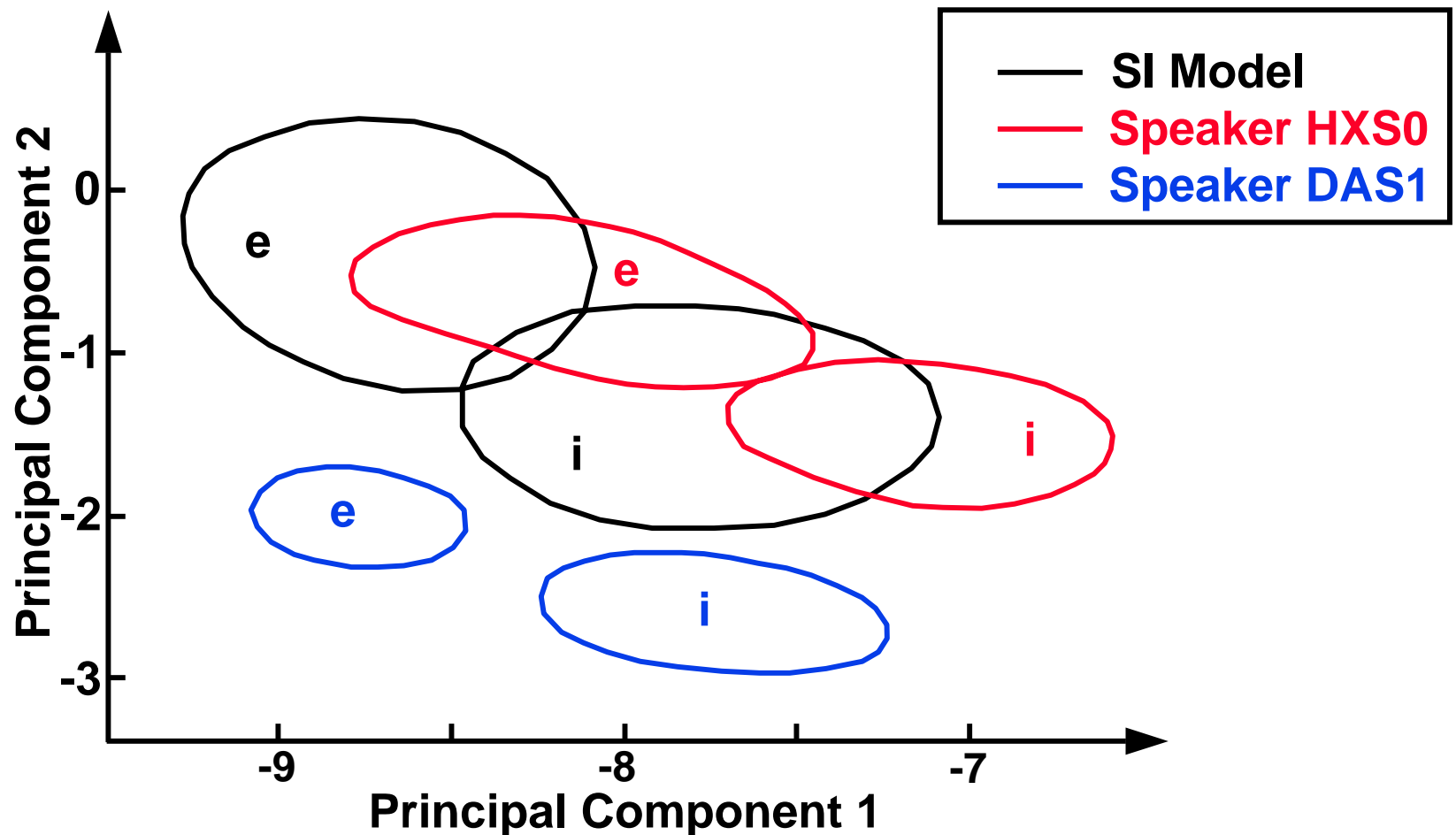
- **An independence assumption is typically required in order to make the modeling feasible:**

$$P(A | U) = \prod_{i=1}^N P(\vec{a}_i | U)$$

- **This independence assumption can be harmful!**
  - **Acoustic correlations between phonetic events are ignored**
  - **No constraint provided from previous observations**

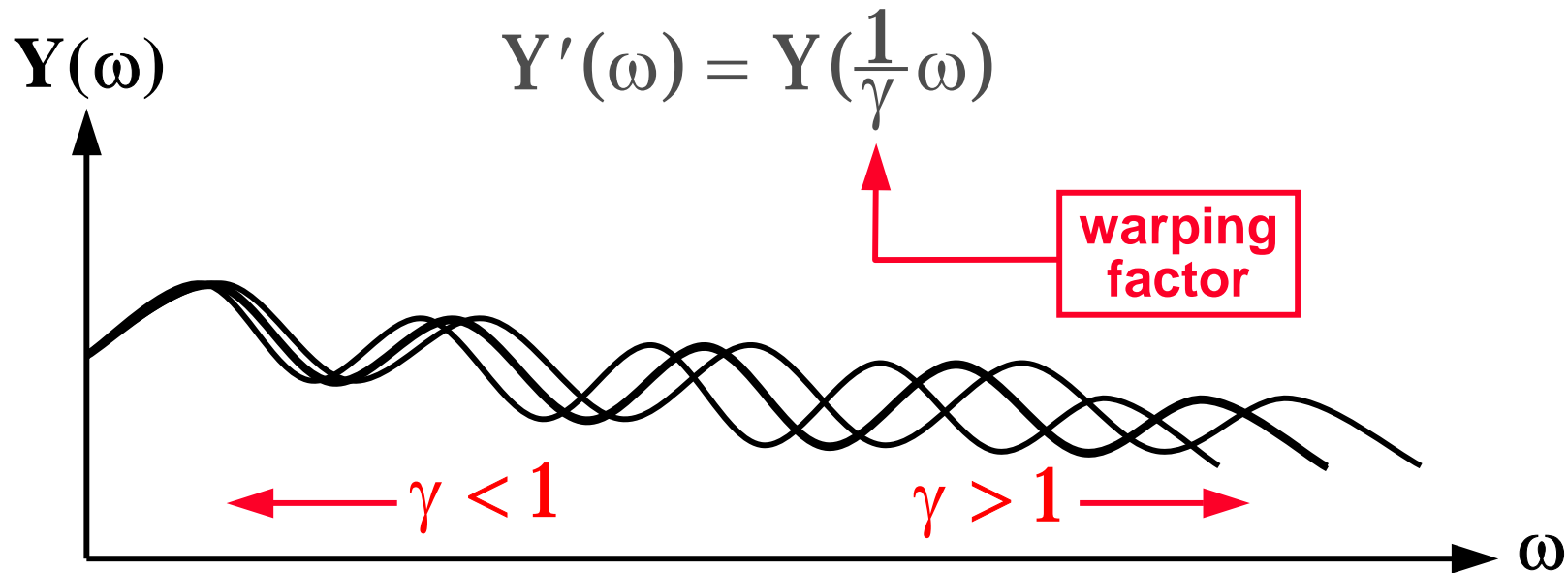
# Variability and Correlation

- Plot of isometric likelihood contours for phones [i] and [e]
- One SI model and two speaker dependent (SD) models
- SD contours are tighter than SI and correlated w/ each other



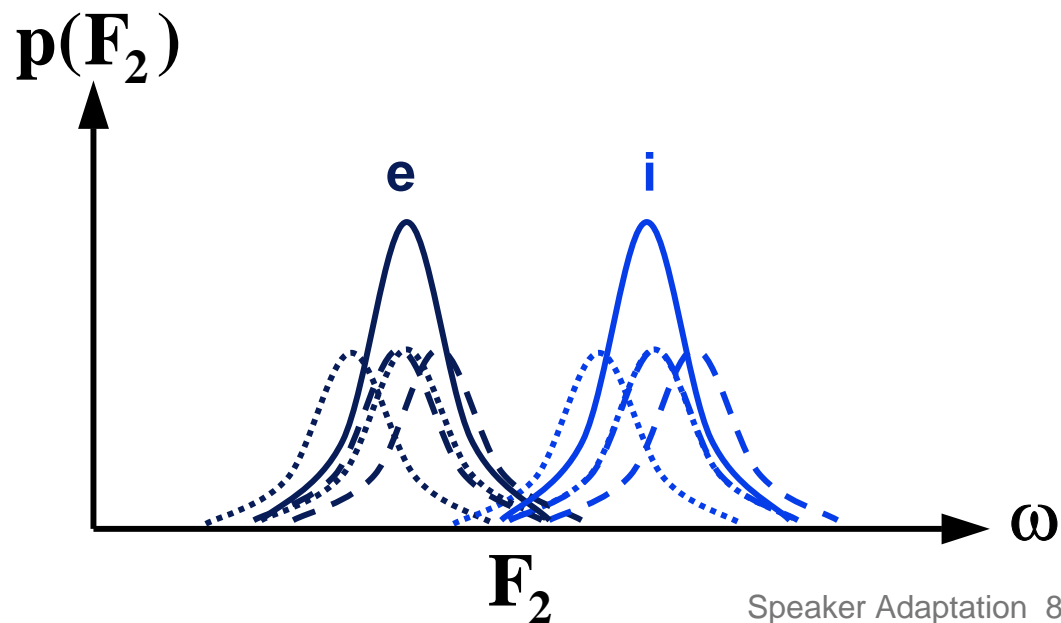
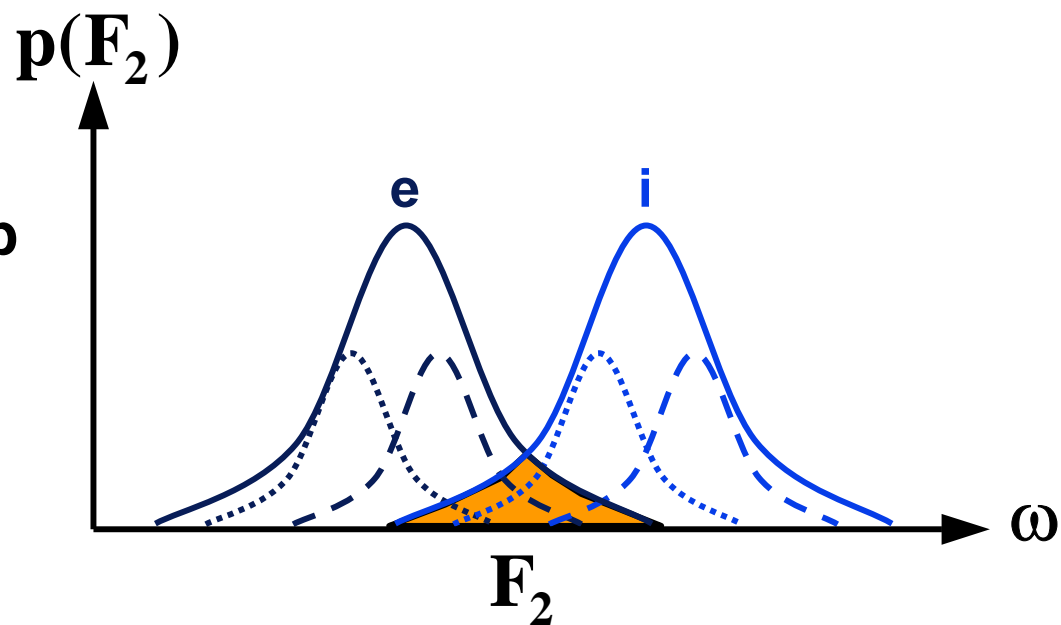
# Vocal Tract Length Normalization

- **Vocal tract length affects formant frequencies:**
  - shorter vocal tracts  $\Rightarrow$  higher formant frequencies
  - longer vocal tracts  $\Rightarrow$  lower formant frequencies
- **Vocal tract length normalization (VTLN) tries to adjust input speech to have an “average” vocal tract length**
- **Method: Warp the frequency scale!**



# Vocal Tract Length Normalization (cont)

- Illustration: second formant for [e] and [i]
- SI models have large overlap (error region)
- SD models have smaller variances & error region
- Warp spectrums of all training speakers to best fit SI model
- Train VTLN-SI model
- Warp test speakers to fit VTLN-SI model





# Vocal Tract Length Normalization

- During testing ML approach is used to find warp factor:

$$\gamma = \arg \max_{\gamma} p(\mathbf{X}^{\gamma} \mid \Theta_{\text{VTLN}})$$

- Warp factor is found using brute force search
  - Discrete set of warp factors tested over possible range
- References:
  - Andreou, Kamm, and Cohen, 1994
  - Lee and Rose, 1998

# MIT Speaker Dependent Recognition

- **Conditions of experiment:**
  - DARPA Resource Management task (1000 word vocabulary)
  - SUMMIT segment-based recognizer using word pair grammar
  - Mixture Gaussian models for 60 context-independent units:
  - **Speaker dependent training set:**
    - \* 12 speakers w/ 600 training utts and 100 test utts per speaker
    - \* ~80,000 parameters in each SD acoustic model set
  - **Speaker independent training set:**
    - \* 149 speakers w/ 40 training utts per speaker (5960 total utts)
    - \* ~400,000 parameters in SI acoustic model set
- **Word error rate (WER) results on SD test set:**
  - SI recognizer had 7.4% WER
  - Average SD recognizer had 3.4% WER
  - SD recognizer had 50% fewer errors using 80% fewer parameters!

# MIT Adaptation Definitions

- **Speaker dependent models don't exist for new users**
- **System must learn characteristics of new users**
- **Types of adaptation:**
  - **Enrolled vs. instantaneous**
    - \* Is a prerecorded set of adaptation data utilized or is test data used as adaptation data?
  - **Supervised vs. unsupervised**
    - \* Is orthography of adaptation data known or unknown?
  - **Batch vs. on-line**
    - \* Is adaptation data presented all at once or one at a time?

# Adaptation Definitions (cont)

- **Goal:** Adjust model parameters to match input data
- **Definitions:**
  - $X$  is a set of adaptation data
  - $\Lambda$  is a set of adaptation parameters, such as:
    - \* Gender and speaker rate
    - \* Mean vectors of phonetic units
    - \* Global transformation matrix
  - $\Theta$  is a set of acoustic model parameters used by recognizer
- **Method:**
  - $\Lambda$  is estimated from  $X$
  - $\Theta$  is adjusted based on  $\Lambda$

# Adaptation Definitions (cont)

- **Obtaining  $\Lambda$  is an estimation problem:**
  - Few adaptation data points  $\Rightarrow$  small # of parameters in  $\Lambda$
  - Many adaptation data points  $\Rightarrow$  larger # of parameters in  $\Lambda$
- **Example:**
  - Suppose  $\Lambda$  contains only a single parameter  $\lambda$
  - Suppose  $\lambda$  represents the probability of speaker being male
  - $\lambda$  is estimated from the adaptation data  $X$
  - The speaker adapted model could be represented as:

$$P(\vec{a} | \Theta_{sa}) = \lambda P(\vec{a} | \Theta_{male}) + (1 - \lambda) P(\vec{a} | \Theta_{female})$$

# Bayesian Adaptation

- A method for direct adaptation of models parameters
- Most useful with large amounts of adaptation data
- A.k.a. maximum *a posteriori* probability (MAP) adaptation
- General expression for MAP adaptation of mean vector of a single Gaussian density function:

$$\vec{\mu} = \arg \max_{\vec{\mu}} p(\vec{\mu} | X) = \arg \max_{\vec{\mu}} p(\vec{\mu} | \vec{x}_1, \dots, \vec{x}_N)$$

- Apply Bayes rule:

$$\vec{\mu} = \arg \max_{\vec{\mu}} p(X | \vec{\mu}) p(\vec{\mu})$$

The diagram illustrates the decomposition of the MAP equation. The term  $p(X | \vec{\mu})$  is labeled as "observation likelihood" and the term  $p(\vec{\mu})$  is labeled as "a priori model". Red arrows point from these labels to their respective terms in the equation.

# Bayesian Adaptation (cont)

- Assume observations are independent:

$$p(\mathbf{X} | \vec{\mu}) = p(\vec{\mathbf{x}}_1, \dots, \vec{\mathbf{x}}_N | \vec{\mu}) = \prod_{n=1}^N p(\vec{\mathbf{x}}_n | \vec{\mu})$$

- Likelihood functions modeled with Gaussians:

$$p(\vec{\mathbf{x}} | \vec{\mu}) = \mathcal{N}(\vec{\mu}; \mathbf{S}) \quad p(\vec{\mu}) = \mathcal{N}(\vec{\mu}_{\text{ap}}; \mathbf{S}_{\text{ap}})$$

- Adaptation parameters found from  $\mathbf{X}$ :

$$\Lambda = \{ \vec{\mu}_{\text{ml}}, \mathbf{N} \} \quad \vec{\mu}_{\text{ml}} = \frac{1}{N} \sum_{n=1}^N \vec{\mathbf{x}}_n$$

maximum likelihood  
(ML) estimate

# Bayesian Adaptation (cont)

- The MAP estimate for a mean vector is found to be:

$$\vec{\mu}_{\text{map}} = \mathbf{S}(\mathbf{N}\mathbf{S}_{\text{ap}} + \mathbf{S})^{-1} \vec{\mu}_{\text{ap}} + \mathbf{N}\mathbf{S}_{\text{ap}} (\mathbf{N}\mathbf{S}_{\text{ap}} + \mathbf{S})^{-1} \vec{\mu}_{\text{ml}}$$

- The MAP estimate is an interpolation of the ML estimates mean and the *a priori* mean:
  - If  $\mathbf{N}$  is small:  $\vec{\mu}_{\text{map}} \approx \vec{\mu}_{\text{ap}}$
  - If  $\mathbf{N}$  is large:  $\vec{\mu}_{\text{map}} \approx \vec{\mu}_{\text{ml}}$
- MAP adaptation can be expanded to handle all mixture Gaussian parameters
  - Reference: Gauvain and Lee, 1994



# Bayesian Adaptation (cont)

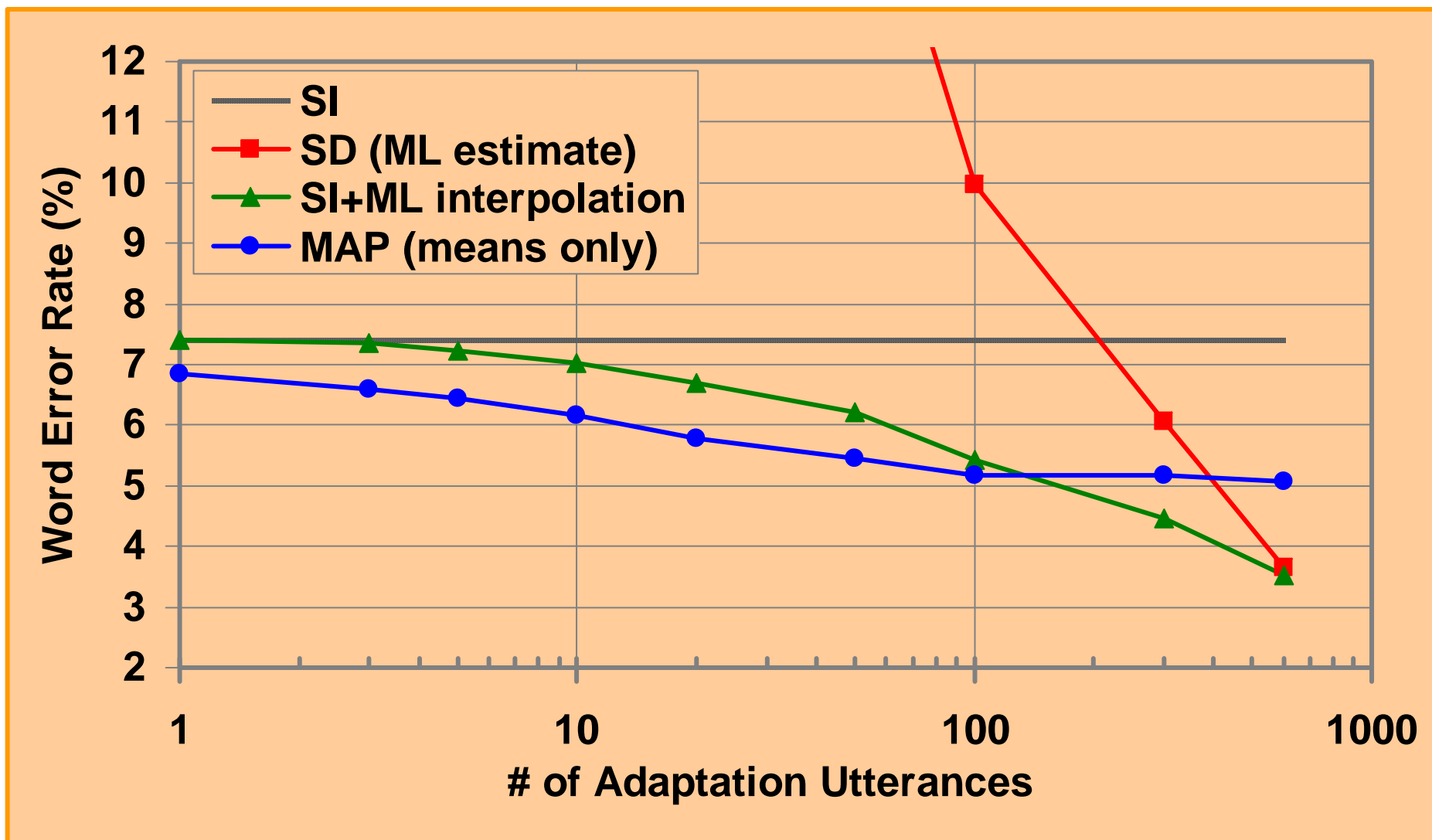
- **Advantages to MAP:**
  - Based on solid mathematical framework
  - Converges to speaker dependent model in limit
- **Disadvantages to MAP:**
  - Adaptation is very slow due to independence assumption
  - Is sensitive to errors during unsupervised adaptation
- **Model interpolation adaptation approximates MAP**
  - Requires no a priori model
  - Also converges to speaker dependent model in limit
  - Expressed as:

$$p_{sa}(\vec{x}_n | \mathbf{u}) = \frac{N}{N+K} p_{ml}(\vec{x}_n | \mathbf{u}) + \frac{K}{N+K} p_{si}(\vec{x}_n | \mathbf{u})$$

**K determined empirically**

# MIT Bayesian Adaptation (cont)

- Supervised adaptation Resource Management SD test set:



# MIT Transformational Adaptation

- Transformation techniques are most common form of adaptation being used today!
- Idea: Adjust models parameters using a transformation shared globally or across different units within a class
- Global mean vector translation:

$$\forall \mathbf{p} \quad \bar{\mu}_p^{sa} = \bar{\mu}_p^{si} + \vec{\mathbf{v}}$$

Diagram illustrating the equation  $\forall \mathbf{p} \quad \bar{\mu}_p^{sa} = \bar{\mu}_p^{si} + \vec{\mathbf{v}}$ . The terms  $\forall \mathbf{p}$  and  $\vec{\mathbf{v}}$  are enclosed in red boxes. Below  $\forall \mathbf{p}$  is a red box containing the text "adapt mean vectors of all phonetic models". Below  $\vec{\mathbf{v}}$  is a red box containing the text "shared translation vector". Red arrows point from these boxes to their respective terms in the equation.

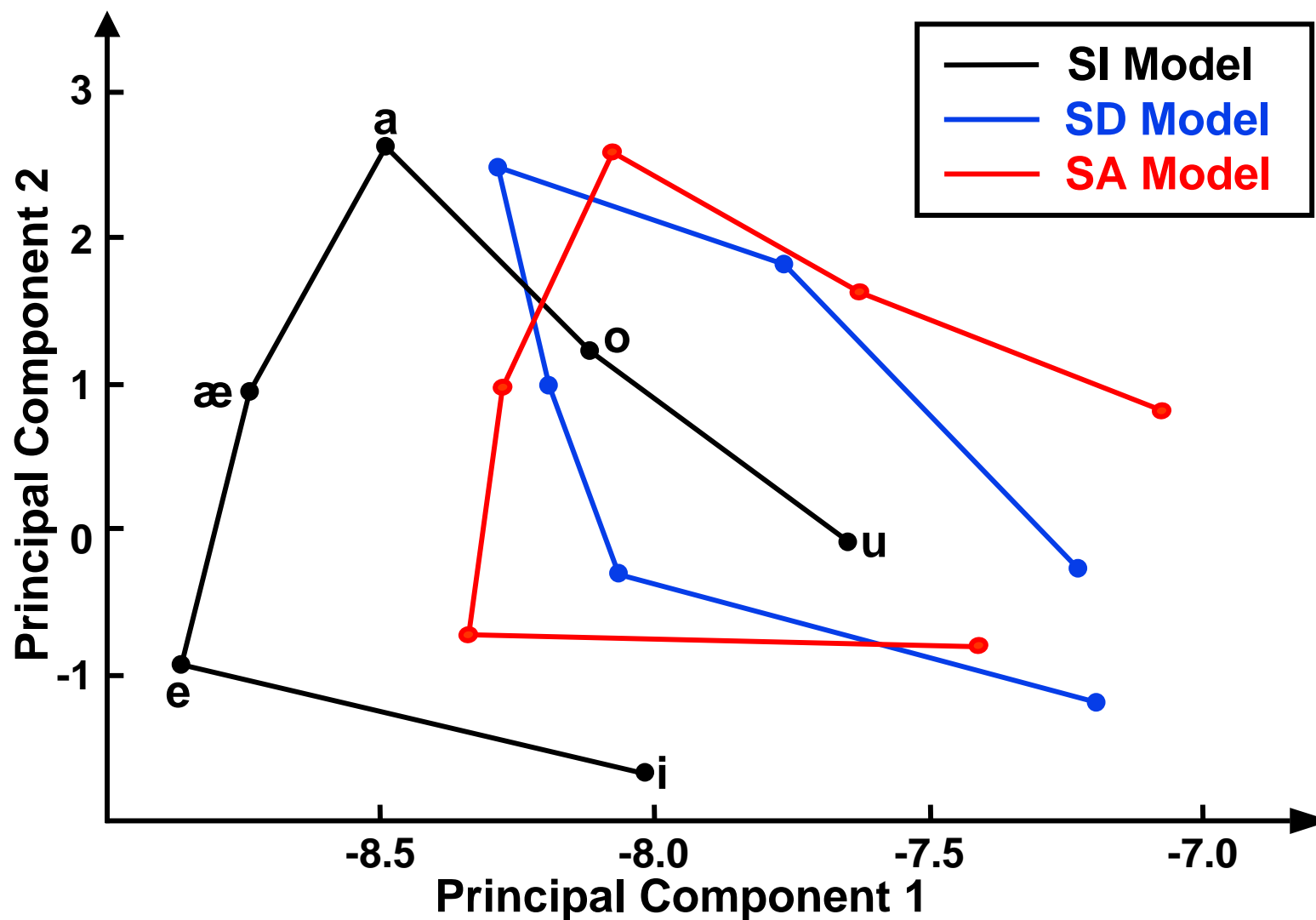
- Global mean vector scaling, rotation and translation:

$$\forall \mathbf{p} \quad \bar{\mu}_p^{sa} = \mathbf{R} \bar{\mu}_p^{si} + \vec{\mathbf{v}}$$

Diagram illustrating the equation  $\forall \mathbf{p} \quad \bar{\mu}_p^{sa} = \mathbf{R} \bar{\mu}_p^{si} + \vec{\mathbf{v}}$ . The term  $\mathbf{R}$  is enclosed in a red box. Below  $\mathbf{R}$  is a red box containing the text "shared scaling and rotation matrix". A red arrow points from this box to the  $\mathbf{R}$  term in the equation.

# Transformational Adaptation (cont)

- SI model rotated, scaled and translated to match SD model:



# MIT Transformational Adaptation (cont)

- Transformation parameters found using ML estimation:

$$[\mathbf{R}, \vec{\mathbf{v}}] = \arg \max_{\mathbf{R}, \vec{\mathbf{v}}} p(\mathbf{X} | \mathbf{R}, \vec{\mathbf{v}})$$

- Advantages:

- Models of units with no adaptation data are adapted based on observations from other units
- Requires no *a priori* model (This may also be a weakness!)

- Disadvantages:

- Performs poorly (worse than MAP) for small amounts of data
- Assumes all units should be adapted in the same fashion

- Technique is commonly referred to as maximum likelihood linear regression (MLLR)

- Reference: Leggetter & Woodland, 1995

# Reference Speaker Weighting

- Interpolation of models from “reference speakers”
  - Takes advantage of within-speaker phonetic relationships
- Example using mean vectors from training speakers:
  - Training data contains  $R$  reference speakers
  - Recognizer contains  $P$  phonetic models
  - A mean is trained for each model  $p$  and each speaker  $r$ :  $\vec{\mu}_{p,r}$
  - A matrix of *speaker vectors* is created from trained means:

$$\vec{m}_r = \begin{bmatrix} \vec{\mu}_{1,r} \\ \vdots \\ \vec{\mu}_{P,r} \end{bmatrix}$$

↑  
speaker vector

$$\mathbf{M} = \begin{bmatrix} \vec{\mu}_{1,1} & \cdots & \vec{\mu}_{1,R} \\ \vdots & \ddots & \vdots \\ \vec{\mu}_{P,1} & \cdots & \vec{\mu}_{P,R} \end{bmatrix}$$

↑  
speaker matrix

↑  
each column is  
a speaker vector

# Reference Speaker Weighting (cont)

- Goal is to find most likely speaker vector for new speaker
- Find weighted combination of reference speaker vectors:

$$\vec{m}_{sa} = \mathbf{M}\vec{w}$$

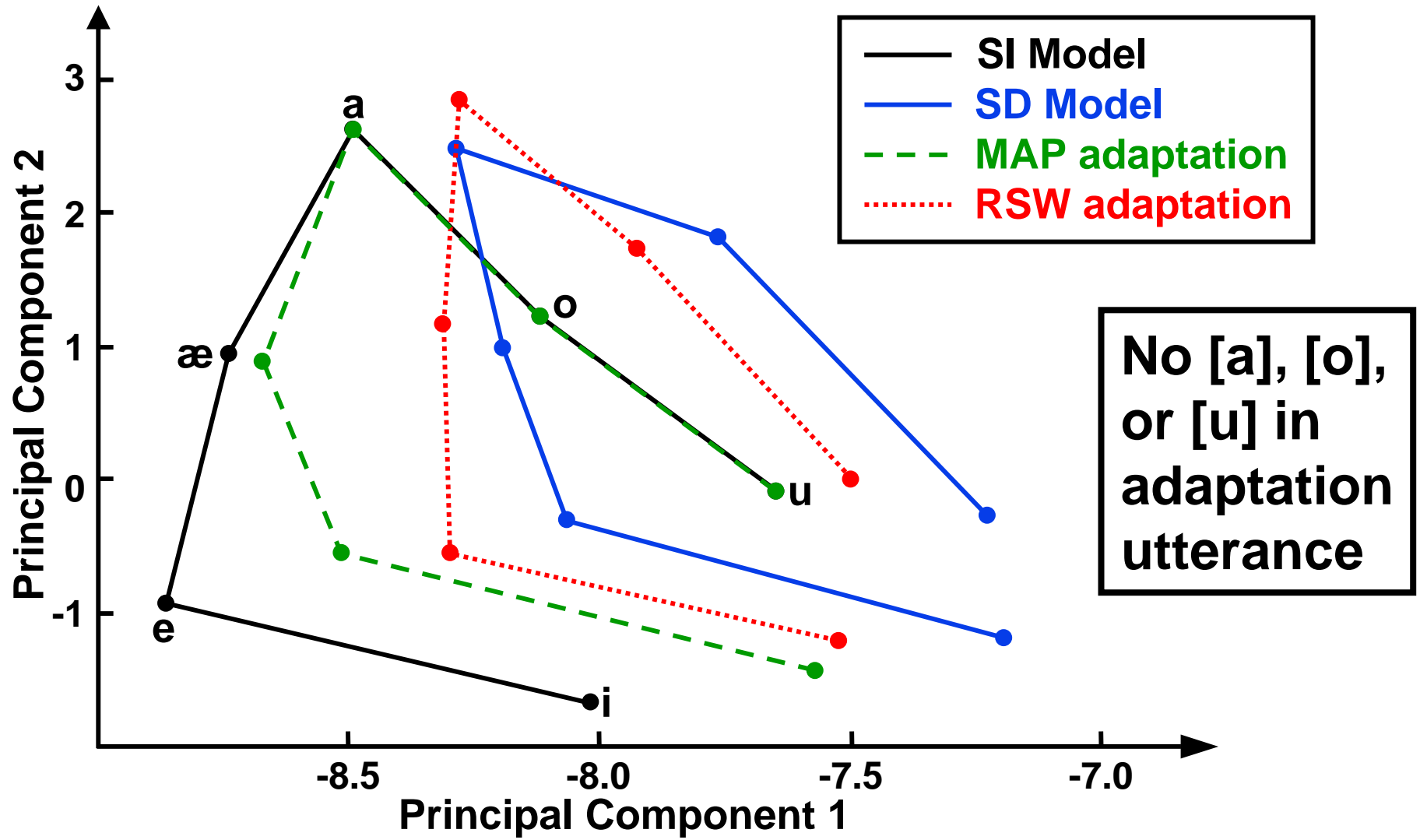
- Maximum likelihood estimation of weighting vector:

$$\vec{w} = \underset{\vec{w}}{\operatorname{argmax}} p(\mathbf{X} | \mathbf{M}, \vec{w})$$

- Global weighting vector is robust to errors introduced during unsupervised adaptation
- Iterative methods can be used to find the weighting vector
  - Reference: Hazen, 1998

# Reference Speaker Weighting (cont)

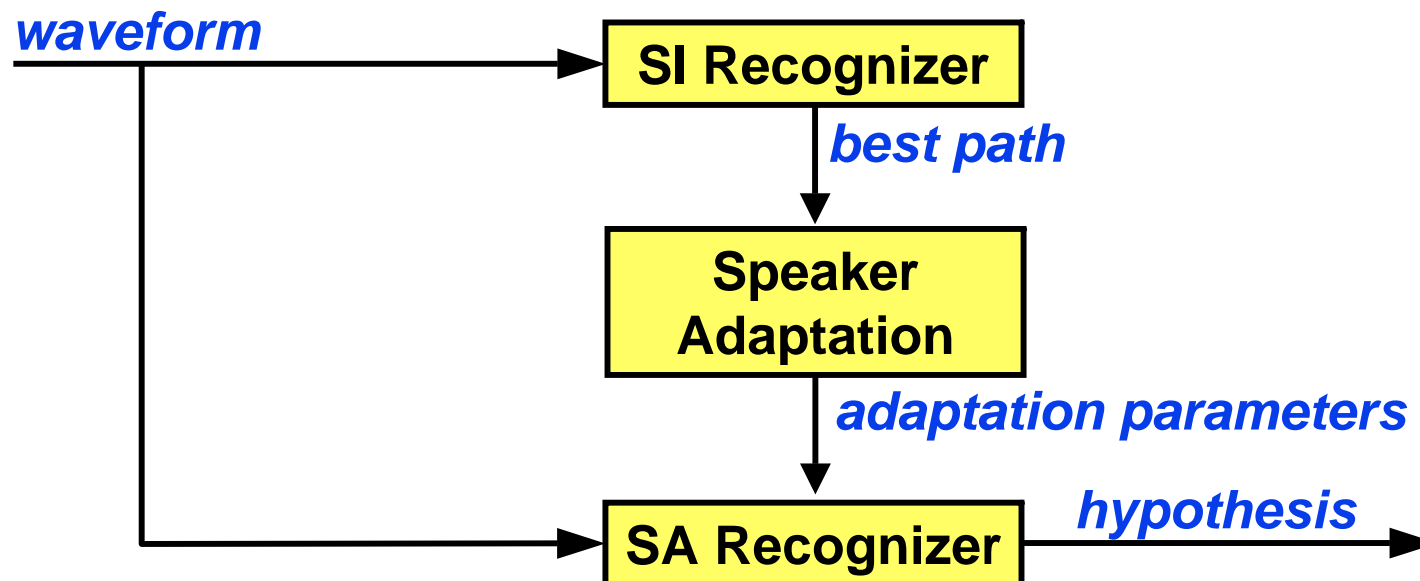
- Mean vector adaptation w/ one adaptation utterance:





# MIT Unsupervised Adaptation Architecture

- Architecture of unsupervised adaptation system:



- In off-line mode, adapted models used to re-recognize original waveform
  - Sometimes called instantaneous adaptation
- In on-line mode, SA models used on next waveform

# MIT Unsupervised Adaptation Experiment

- **Unsupervised, instantaneous adaptation**
  - Adapt and test on same utterance
  - Unsupervised  $\Rightarrow$  recognition errors affect adaptation
  - Instantaneous  $\Rightarrow$  recognition errors are reinforced

Adaptation Method	WER	Reduction
SI	8.6%	---
MAP Adaptation	8.5%	0.8%
RSW Adaptation	8.0%	6.5%

- **RSW is more robust to errors than MAP**
  - RSW estimation is “global”  $\Rightarrow$  uses whole utterance
  - MAP estimation is “local”  $\Rightarrow$  uses one phonetic class only

- **Eigenvoices extends ideas of Reference Speaker Weighting**
  - Reference: Kuhn, 2000
- **Goal is to learn uncorrelated features of the speaker space**
- **Begin by creating speaker matrix:**

$$\mathbf{M} = \begin{bmatrix} \vec{\mu}_{1,1} & \cdots & \vec{\mu}_{1,R} \\ \vdots & \ddots & \vdots \\ \vec{\mu}_{P,1} & \cdots & \vec{\mu}_{P,R} \end{bmatrix}$$

- **Perform Eigen (principal components) analysis on  $\mathbf{M}$** 
  - Each Eigenvector represents an independent (orthogonal) dimension in the speaker space
  - Example dimensions this method typically learns are gender, loudness, monotonicity, etc.

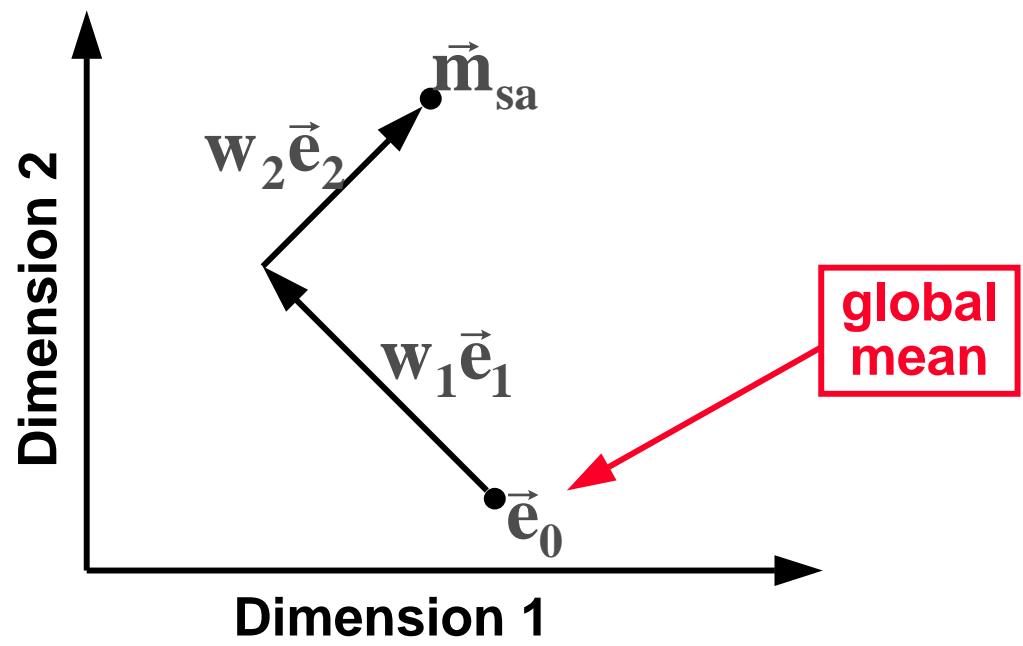
# MIT Eigenvoices (cont)

- Find R eigenvectors:

$$E = \{ \vec{e}_0; \vec{e}_1; \dots; \vec{e}_R \}$$

- New speaker vector is combination of top N eigenvectors:

$$\vec{m}_{sa} = \vec{e}_0 + w_1 \vec{e}_1 + \dots + w_N \vec{e}_N$$



# Eigenvoices (cont)

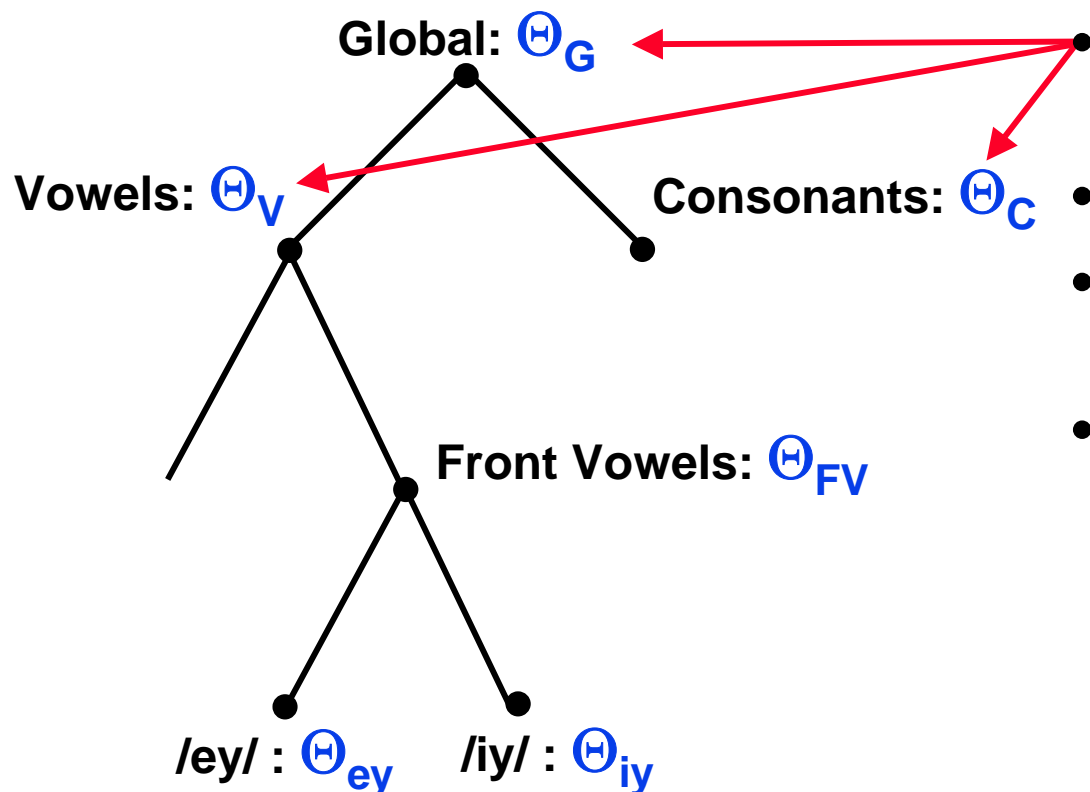
- **Adaptation procedure is very similar to RSW:**

$$\vec{w} = \arg \max_{\vec{w}} p(X | E, \vec{w})$$

- **Eigenvoices adaptation can be very fast**
  - **A few eigenvectors can generalize to many speaker types**
  - **Only a small number of phonetic observations required to achieve significant gains**

# MIT Structural Adaptation

- Adaptation parameters organized in tree structure
  - Root node is global adaptation
  - Branch nodes perform adaptation on shared classes of models
  - Leaf nodes perform model specific adaptation



Adaptation parameters learned for each node in tree

- Each node has a weight:  $w_{\text{node}}$
- Weights based on availability of adaptation data
- Each path from root to leaf follows this constraint:

$$\sum_{\forall \text{node} \in \text{path}} w_{\text{node}} = 1$$

# MIT Structural Adaptation

- **Structural adaptation based on weighted combination of adaptation performed at each node in tree:**

$$p_{sa}(\vec{x} | u, \text{tree}) = \sum_{\forall \text{nodes} \in \text{path}(u)} w_{\text{node}} p(\vec{x} | u, \Theta_{\text{node}})$$

- **Structural adaptation has been applied to a variety of speaker adaptation techniques:**
  - **MAP** (Reference: Shinoda & Lee, 1998)
  - **RSW** (Reference: Hazen, 1998)
  - **Eigenvoices** (Reference: Zhou & Hanson, 2001)
  - **MLLR** (Reference: Siohan, Myrvoll & Lee, 2002)

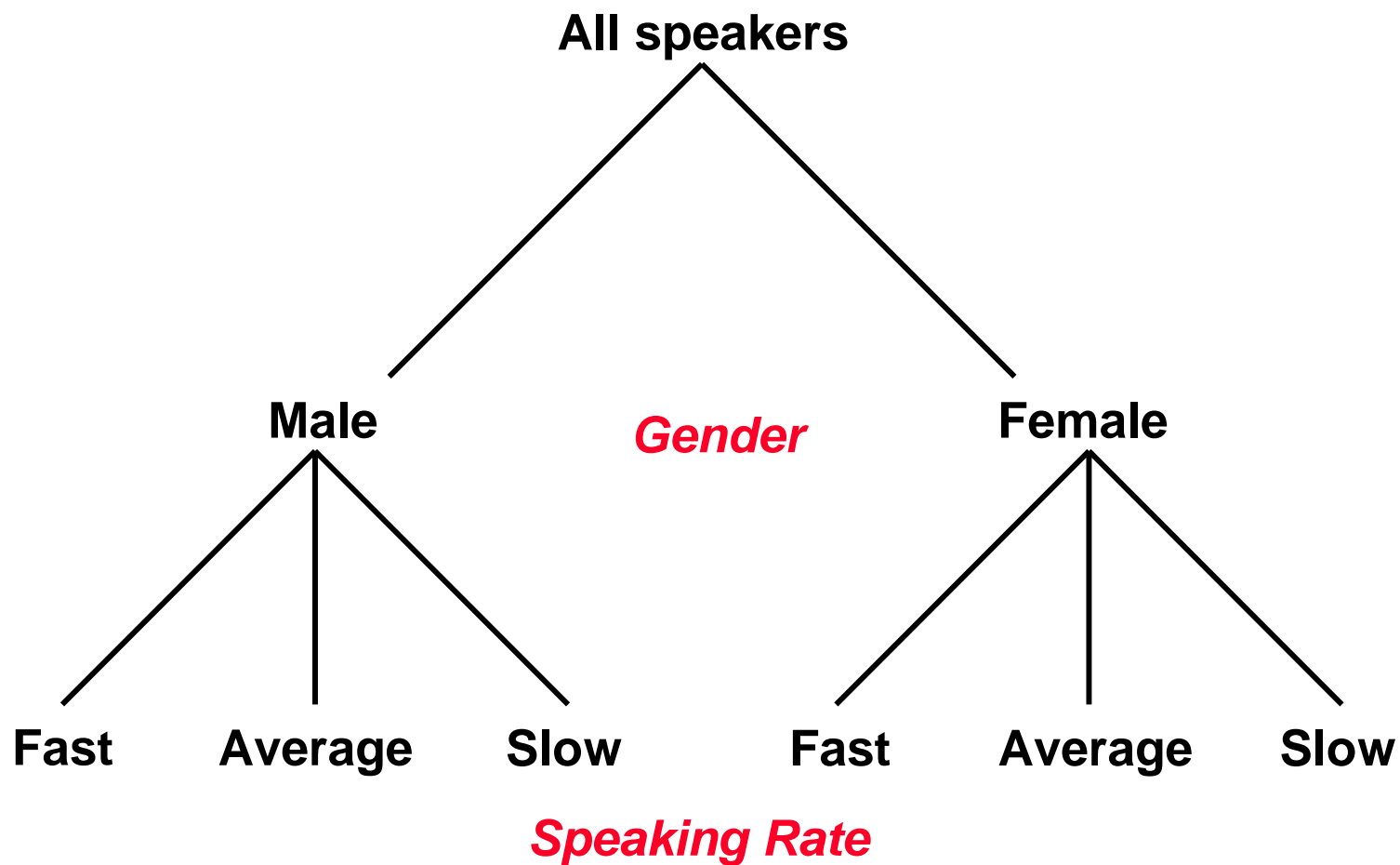
# Hierarchical Speaker Clustering

- **Idea: Use model trained from cluster of speakers most similar to the current speaker**
- **Approach:**
  - A hierarchical tree is created using speakers in training set
  - The tree separates speakers into similar classes
  - Different models build for each node in the tree
  - A test speaker is compared to all nodes in tree
  - The model of the best matching node is used during recognition
- **Speakers can be clustered...**
  - ...manually based on predefined speaker properties
  - ...automatically based on acoustic similarity
- **References:**
  - Furui, 1989
  - Kosaka and Sagayama, 1994



# Hierarchical Speaker Clustering

- Example of manually created speaker hierarchy:



# Hierarchical Speaker Clustering (cont)

- **Problem: More specific model  $\Rightarrow$  less training data**
- **Tradeoff between robustness and specificity**
- **One solution: interpolate general and specific models**
- **Example combining ML trained gender dependent model with SI model to get interpolated gender dependent model:**

$$p_{\text{igd}}(\vec{x}_n | \mathbf{u} = \mathbf{p}) = \lambda p_{\text{mlgd}}(\vec{x}_n | \mathbf{u} = \mathbf{p}) + (1 - \lambda) p_{\text{si}}(\vec{x}_n | \mathbf{u} = \mathbf{p})$$

- **$\lambda$  values found using the deleted interpolation**
  - Reference: X.D. Huang, *et al*, 1996

# MIT Speaker Cluster Weighting

- Hierarchical speaker clustering chooses one model
- Speaker cluster weighting combines models:

$$p_{sa}(\vec{x}_n | \mathbf{u} = \mathbf{p}) = \sum_{m=1}^M w_m p_m(\vec{x}_n | \mathbf{u} = \mathbf{p})$$

- Weights determined using EM algorithm
- Weights can be global or class-based
- Advantage: *Soft* decisions less rigid than *hard* decisions
  - Reference: Hazen, 2000
- Disadvantage:
  - Model size could get too large w/ many clusters
  - Need approximation methods for real-time
  - Reference: Huo, 2000

# Speaker Clustering Experiment

- **Unsupervised instantaneous adaptation experiment**
  - Resource Management SI test set
- **Speaker cluster models used for adaptation:**
  - 1 SI model
  - 2 gender dependent models
  - 6 gender and speaking rate dependent models

<b>Models</b>	<b>WER</b>	<b>Reduction</b>
<b>SI</b>	<b>8.6%</b>	<b>---</b>
<b>Gender Dependent</b>	<b>7.7%</b>	<b>10.5%</b>
<b>Gender &amp; Rate Dependent</b>	<b>7.2%</b>	<b>16.4%</b>
<b>Speaker Cluster Interpolation</b>	<b>6.9%</b>	<b>18.9%</b>

- **Adaptation improves recognition by constraining models to characteristics of current speaker**
- **Good properties of adaptation algorithms:**
  - **account for a priori knowledge about speakers**
  - **be able to adapt models of units which are not observed**
  - **adjust number of adaptation parameters to amount of data**
  - **be robust to errors during unsupervised adaptation**
- **Adaptation is important for “real world” applications**

# References

- A. Andreou, T. Kamm, and J. Cohen, “Experiments in vocal tract normalization,” *CAIP Workshop: Frontiers in Speech Recognition II*, 1994.
- S. Furui, “Unsupervised speaker adaptation method based on hierarchical spectral clustering,” ICASSP, 1989.
- J. Gauvain and C. Lee, “Maximum *a posteriori* estimation for multivariate Gaussian mixture observation of Markov chains,” *IEEE Trans. On Speech and Audio Processing*, April 1994.
- T. Hazen, *The use of speaker correlation information for automatic speech recognition*, PhD Thesis, MIT, January 1998.
- T. Hazen, “A comparison of novel techniques for rapid speaker adaptation,” *Speech Communication*, May 2000.
- X.D. Huang, *et al*, “Deleted interpolation and density sharing for continuous hidden Markov models,” ICASSP 1996.
- Q. Huo and B. Ma, “Robust speech recognition based on off-line elicitation of multiple priors and on-line adaptive prior fusion,” ICSLP, 2000.

- T. Kosaka and S. Sagayama, “Tree structured speaker clustering for speaker-independent continuous speech recognition,” *ICASSP*, 1994.
- R. Kuhn, *et al*, “Rapid speaker adaptation in Eigenvoice Space,” *IEEE Trans. on Speech and Audio Processing*, November 2000.
- L. Lee and R. Rose, “A frequency warping approach to speaker normalization,” *IEEE Trans. On Speech and Audio Proc.*, January 1998.
- C. Leggetter and P. Woodland, “Maximum likelihood linear regression for speaker adaptation of continuous density hidden Markov models,” *Computer Speech and Language*, April 1995.
- K. Shinoda and C. Lee, “Unsupervised adaptation using a structural Bayes approach,” *ICASSP*, 1998.
- O. Siohan, T. Myrvoll and C. Lee, “Structural maximum a posteriori linear regression for fast HMM adaptation,” *Computer Speech and Language*, January 2002.
- B. Zhou and J. Hanson, “A novel algorithm for rapid speaker adaptation based on structural maximum likelihood Eigenspace mapping,” *Eurospeech*, 2001.